# Reactionary Utopia

# Political Radicalization and Violence in the Russian Empire

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#### Zhuravskaya et al. (2021):

- lackbox Post-imperial syndrome o inward-focused ideologies, xenophobia, and nostalgia.
- ► Central Eastern Europe and Russia:
  - ► Collapse of two empires: Imperial Russia and the Soviet Union
  - ▶ Significant large-scale social experiments (among others):
    - Abolition of serfdom in 1861.
    - ▶ Rise of the Bolsheviks during the 1917 Revolution.
- **★** Local radicalization among non-Russian minorities in the long 19th century (this project).

- **Q:** Did revolutionary violence help push Russia towards a more liberal democracy, or did it trigger a conservative backlash?
- ▶ Utilized local radicalization facilitated by the Imperial Secret Police from the 1880s to 1900s, involving:
  - ▶ Distributing propaganda (1)
  - ▶ Membership in anti-Tsarist organizations (2)
  - ▶ Plotting and executing (3) riots and (4) assassinations
- ► Examined their impact on electoral outcomes of the 1917 Constituency Assembly in over 400 administrative districts (Castañeda Dower et al. 2021).
- ▶ Research findings provide crucial insights:
  - 1 Increased local elite threat polarizes the electorate in 1917.
  - **2** Severity of the threat influences the popularity of moderate and conservative factions.
  - **3** Analysis indicates a significant surge in radicalization within both left and right-wing parties.



# Related literature: Three layers of (historical) political economy

- 1 Political economy: Examining political preferences in industrializing economies (Galor 2011).
- **2** Emergence of political extremism in the first half of the 20th century:
  - ▶ Right-wing extremism (Voigtländer and Voth 2021).
  - ▶ Left-wing extremism (Castañeda Dower et al. 2021).
- **3** Factors contributing to the Russian Revolution:
  - ▶ Share of industrial workers and Bolshevik success (Castañeda Dower et al. 2021).
  - ► Complex relationship between industrialization and peasant protests (Kofanov 2020).
  - ▶ Peasant unrest, tsar's abdication, and the October Revolution (Finkel et al. 2017).

- $\blacktriangleright$  1861: Alexander II abolished serfdom without further social reforms ightarrow pprox 40 million people.
- ▶ 1881: Alexander II assassinated by Narodnaja Volya, leading to a pogrom wave until 1884.²
- ▶ Alexander III attributed the assassination to liberal minority policies, resulting in the establishment of absolute autocracy.
  - ▶ Martial law introduced in the majority of Pale regions (until 1917), authorizing the police to:
    - Make preventive arrests,
    - Detain individuals for up to two weeks,
    - Conduct unrestricted searches of premises, and
    - Seize materials related to political crimes.
  - ▶ Policies restricting civil and property rights (taxation, education, residence) for Jews.
- ▶ 1880-90s: Rise of self-defense and revolutionary organizations within the Pale region.

<sup>2</sup>By 1880, five of the seven leading members of the People's Will were Jews; Abram Bath, Boris Orzhich, Natan Bogoraz, Zacharii Kogan, Chaim Ley Shternberg (Haberer 1995, p. 46).

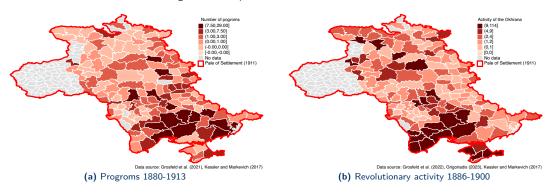
- ▶ Young individuals : workers, intellectuals, high school and university students, and women.
- ▶ Highly educated, mostly from noble backgrounds, in their mid-20s.



The former Pale in contemporary borders Countries shown in 2019 borders Pale of Settlement 1911 Source: Kessier (2017), AirCSS World countries (gen.) Denmark 7 Russian Federation Czech Republic Slovakia Austria Hungary Slovenia Romania Croatia

Fig. 1: Pale of Settlement in contemporary borders

Fig. 2: Tsarist repression in the Pale of Settlement



- ▶ In 1883, the Okhrana became the first professional secret police, focusing on:
  - ▶ Monitoring émigré and revolutionary groups both domestically and abroad.
  - ▶ Investigating known centers of conspiratorial activity.
  - ▶ Targeting locations of the production of bombs, and smuggling weapons and explosives.
- ▶ The structure, strategy, and secrecy of the Okhrana included:
  - f 1 Centralized and specialized operations o high effectiveness with a relatively small staff.
  - **2** A divide-and-conquer approach  $\rightarrow$  scout for spies and study different oppositional parties.
  - **3** Coordinated actions  $\rightarrow$  "liquidation" arrests and perlustration.
- ▶ In St. Petersburg (Zuckerman 1996, p. 38, footnotes 45, 46, 47):
  - ▶ Average revolutionaries could not evade the political police for more than three months.
  - ▶ Underground activists had to assume the presence of traitors within their ranks.
  - ► Contrasts with descriptions of an (1) ineffective Tsarist bureaucracy and (2) secret police agents seen as mediocre within their frame of reference<sup>3</sup>

<sup>&</sup>lt;sup>3</sup> See Scharpf and Gläßel (2020) and Gregg and Matiashvili (2022).

"the living symbol of all that is most repressive, cruel, mean, and vile about autocracy"

(p. 129 Nicolaievsky 1934, citing terrorist Evno Azev).

"the omnipotence of the political police" allowed tsarism to survive

(p. 21 Andrew and Gordievsky 1990, citing Marxist Peter Struve).

"All of them had been shadowed, searched, arrested, kept in jail, and sentenced to exile by the political police of the imperial government. [...] "

(p. 317 Pipes 1979, on the influence of Okhrana on leading Bolsheviks).

- 1 Okhrana Data from Hoover Archives (Grigoriadis 2023):
  - ▶ Individuals monitored by province (1886-1900).
  - ▶ The dataset includes leading anarchists and Bolsheviks such as Kropotkin, Lenin, and Figner.
- 2 Constituency Assembly 1917 Data on Over 400 Districts (Protasov 1997; Protasov et al. 2014):
  - Utilized the Arzamas project for parties' left-right scale (Castañeda Dower et al. 2021).
  - ▶ Additional lists based on demand for redistribution and national interests.
- **3** Controls account for geography, and other historical variables:
  - ➤ "Middlemen data" on Jewish Integration into the Countryside from Grosfeld et al. (2020). (e.g., Under Alexander II, residence and employment restrictions were largely ignored.)
  - ➤ "Serfdom data" from Buggle and Nafziger (2021).

    (i.e. podzolic soil index, lat-lon, distances, etc., for potential land redistribution demands.)
  - ▶ Sh. industrial workers, ethnic markers from 1897 Census Data (Kessler and Markevich 2017). (e.g., Lenin: Industrial workers played a crucial role in the victory of the Bolshevik Revolution.)



 Table 1: Descriptive statistics for explanatory variables (imputed values)

Variable	Mean	SD	Minimum	Maximum	Observations
	Panel B: Geographic control variables				
Latitude	54.02	3.85	44.60	69.58	449
Longitude	37.94	7.97	24.30	63.29	449
Coal Territory 0/1	0.41	0.49	0.00	1.00	449
Podzol Soil	0.36	0.37	0.00	1.00	449
Length Growing Period	156.95	32.86	69.86	213.32	449
Distance to St. Petersburg	9.43	3.84	0.32	20.02	449
Distance Provincial Capital	1.25	0.98	0.00	8.65	449
		Panel C:	Demograpi	hic control va	riables
Number of teriary educated 1897	179.92	671.36	13.00	12447.00	438
Sh. Eastern Slavic language speakers 1897	0.85	0.20	0.05	1.00	438
Share industrial workers 1897	0.07	0.05	0.02	0.27	438
Serfs % (1858)	0.40	0.25	0.00	0.85	449
Change in gender ratio 1913-17	-0.08	0.68	-9.31	0.90	379
	Panel D: Middlemen control variables				
Sh. Jews among craftsmen	0.45	0.21	0.04	0.80	121
Sh. Jews among creditors	0.59	0.26	0.05	1.00	121
Sh. Jews among traders	0.79	0.21	0.08	0.98	121

Fig. 3: Archival material from the Okhrana Paris records at Hoover Institution



Data source: Grigoriadis (2023).

Table 2: Social background and monitored crimes, all years (long)

			Freq.	Per.	Val. Per.	Cum. Per			
	By crime								
Valid	0	Other	43	0.68	2.45	2.45			
	1	Propaganda	259	4.09	14.75	17.20			
	2	Membership	757	11.95	43.11	60.31			
	3	Riots	102	1.61	5.81	66.12			
	4	Assassinations	595	9.39	33.88	100.00			
	Total		1756	27.71	100.00				
	By social origin								
Valid	2	Medium-skilled	2226	35.13	52.16	52.16			
	3	High-skilled	1333	21.04	31.23	83.39			
	1	Unskilled	709	11.19	16.61	100.00			
	Total		4268	67.36	100.00				
	By gender								
Valid	1	Male	4870	76.86	76.86	76.86			
	0	Female	1466	23.14	23.14	100.00			
	Total		6336	100.00	100.00				

## Okhrana Dataset: Overall Data

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- ▶ People under investigation at the province level (1886-1900, N=1,667):
  - 1 Suppression of Narodnya Volya after the 1881 regicide.
  - **2** Surge in terrorism in the early 20th century.
  - ▶ Rapid industrialization, political repression, and russification policies led to elevated tensions along social, ethnic, religious, and national lines.
- ▶ Data Cover:
  - 1 First, father's, and last names.
  - 2 Educational and social origin.
  - **3** Date(s), location, and degree of revolutionary warfare.
- ▶ Removing double counts in data captures each new revolutionary emerging (Hirshleifer).
- ▶ Missing data are imputed⁴ and consolidated using district population.

Terrorism

# Okhrana dataset: Inviduals under surveillance 1886–1900, by contemporary country

Table 3: Geographic distribution of individuals under surveillance

	Frequency	Percentage	Cum. Percentage
Belarus	103	6.18	6.18
Georgia	1	0.06	6.24
Latvia	39	2.34	8.58
Lithuania	78	4.68	13.26
Moldova	42	2.52	15.78
Poland	337	20.22	35.99
Russia	683	40.97	76.96
Ukraine	384	23.04	100.00
Total	1,667	100.00	



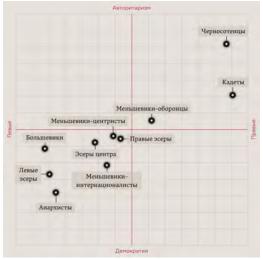
- 1 While comprehending what the Okhrana observed and its high effectiveness, a pivotal question remains: What did it miss?
  - Triangulate Okhrana data with collective biographies of Russian terrorists before 1905.
  - ▶ Include memoirs of individuals like Savinkov (1917), Kravchinskij (1883), and Thun (1883), cross-referenced with Soviet revolutionary member lists.
  - ▶ Out of the 888 names recorded in these sources, Okhrana covered around 10% of terrorists active after (!) 1900, women severely underrepresented (1/4 vs. 1/2 in control group)
- 2 Was it top-down repression or bottom-up radicalization (as we argue)? Likely the latter!
- **3** Was it anti-Semitic? Exploit ethnic markers by examining the share of Jewish revolutionaries.
  - ▶ Utilize the Ashkenazi Jewish first, father's, and last names dictionary from Beider (2001).
  - ▶ Follow Bindler et al. 2023 on name coding to address potential anti-Jewish bias.
  - ▶ 36% had Jewish given names, compared to 37% Jews in urban areas (Spitzer 2015).

Table 4: Jewish background and monitored crimes, all years (long)

			Freq.	Per.	Val. Per.	Cum. Per
Valid	1	Jewish given name	2270	35.83	35.83	35.83
	0		4066	64.17	64.17	100.00
	Total		6336	100.00	100.00	
Valid	1	Jewish patronymic	1633	25.77	100.00	100.00
Missing			4703	74.23		
Total			6336	100.00		
Valid	1	Jewish surname	3306	52.18	52.18	52.18
	0		3030	47.82	47.82	100.00
	Total		6336	100.00	100.00	

# Assembly data: Arzamas project – Who are you in 1917 Russia?

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Data source: Arzamas Project - Who are you in 1917 Russia? https://arzamas.academy/materials/1269



# Assembly data: Descriptives

 Table 5: Descriptive statistics for dependent variables (imputed values)

Variable	Mean	SD	SD Minimum		Observations			
		Panel A: Individual Parties						
Mensheviks	0.042	0.120	0.00	0.95	391			
SRevol	0.568	0.250	0.00	0.97	391			
Bolsheviks	0.234	0.214	0.00	0.78	391			
Jewish lists	0.016	0.042	0.00	0.38	391			
Liberals	0.008	0.014	0.00	0.10	391			
Kadets	0.047	0.045	0.00	0.29	391			
		Panel B: By Faction						
Far Left	0.249	0.226	0.00	0.89	391			
Mod. Left	0.019	0.088	0.00	0.74	391			
Center	0.608	0.243	0.00	0.99	391			
Mod. Right	0.068	0.136	0.00	0.90	391			
Cons. Right	0.055	0.046	0.00	0.29	391			

### Estimation strategy:

$$POL_{ij} = \beta \times Okhrana_{ij} + X_{ij}\gamma + \phi_j + \epsilon_{ij}$$
(1)

#### where:

- ▶ POL<sub>ii</sub> is our set of policy indicators similar to the *Manifesto Project Database*<sup>5</sup>:
  - ▶ Polarization, center of gravity, left-right electoral groups, vote shares<sup>6</sup>, minimum and maximum left-right orientation and a party-specific median party dummy variable.
- ▶ Okhrana; collects (1) total number of individuals monitored and (2) by political warfare employed; i.e. assassination, riots, propaganda, membership
- $\triangleright$   $X_{ii}\gamma$  is a set of controls and  $\phi_i$  measure constituency effectsd

<sup>5</sup>We use the Election Level Do-File to create our indicators

<sup>&</sup>lt;sup>6</sup>Vote shares are mechanically related.

#### Methods:

- ► Commencing with Ordinary Least Squares (OLS) regression,
- ▶ Addressing revolutionary spillovers through spatial clustering (Colella et al. 2020) for:
  - 1 Analyzing the motivations of local groups considering revolutionary actions, and
  - 2 Examining the transmission of information that may incite similar actions among local actors.
  - **3** Examples include transregional terrorists, literature, and the economic and physical consequences of bombings.
- ► Strengthening our results with Propensity Score Matching (PSM) and Coarsened Exact Matching (CEM).

 $\mbox{Next} \rightarrow \mbox{Exploring our main party and faction results}.$ 

## Propensity-Score-Matching: Overall Radicalization

Table 6: ATT & Sensitivity Analysis: Benefactors by party in European Russia

Variable	Mensheviks	SRevol	Bolsheviks	Jewish lists	Liberals	Kadets		
		Panel A: Kernel-Based Matching						
	(1)	(2)	(3)	(4)	(5)	(6)		
ATT – Okhrana	0.028* (0.017)	-0.023 (0.038)	-0.012 (0.039)	0.000 (0.007)	0.004* (0.002)	0.021*** (0.005)		
Demographics	✓	✓	✓	✓	✓	✓		
Geographics	✓	✓	✓	✓	✓	✓		
Treated	159	159	159	159	159	159		
Control	179	179	179	179	179	179		
$\Gamma(sigm+<0.05)$	108	108	107	40	82	108		
Observations	338	338	338	338	338	338		

<sup>\*</sup> p < 0.10, \*\* p < 0.05, \*\*\* p < 0.01. Standard error in parentheses. NNM ==1. Common support is imposed. The dependent variables refer to different political factions. Mensheviks encompasses the vote share for the center, leftist, and rightist factions of the Menshevik party. Skevol represents the vote share for the Social Revolutionaries, while Bolsheviks refers to any list where the Bolsheviks were the leading party. Jewish lists refers to the vote share for lewish lists, such as Fareyniket, the Bund, or the Zionists. Liberals denotes the vote share for the Commercial industrialists and Landowners, and Constitutional Democratic Party (referred to as 'Kadets') represents the vote share for the most conservative party electable in the 1917 assembly. The demographic controls came from two sortes: Buggle and Mariginer (2021) and Kessler and Markevich (2017) and include district location factors, such as latitude, longitude, and global distance to St. Peterbury and to the provincial capital. Other factors are the length of the growing season, presence of coal servincies, and type of soil. Additionally, we account for the proportion of individuals with tertainty education, the proportion of Russians, Ukrainians and Belorusians, and the proportion of workers in industrial sectors. These factors are measured based on the 1807 population levels and weighted by district population levels. We dirther include the share of serfs in 1828 and the missing mend use to Workford War I as controls for each district.

## Revolutionary Spillovers Effects: Political Factions

Table 7: Spatial Correction with Arbitrary Clustering: Factions in European Russia (50 km cutoff)

	Far Left (1)	Mod. Left (2)	Center (3)	Mod. Right (4)	Cons. Right (5)
Radicalization:					
Okhrana	0.024	-0.007	-0.031	-0.001	0.015**
	(0.023)	(0.005)	(0.023)	(0.012)	(0.007)
Male (1 if male)	-0.033	0.009	0.039	0.003	-0.018**
	(0.030)	(0.007)	(0.030)	(0.015)	(0.009)
By crime:					
Assassinations	-0.075*	-0.057**	0.214***	-0.031	-0.051***
	(0.040)	(0.026)	(0.050)	(0.038)	(0.012)
Riots	0.060	-0.406**	0.806**	-0.077	-0.382***
	(0.277)	(0.191)	(0.352)	(0.285)	(0.088)
Membership	0.013	0.071*	-0.205***	0.038	0.083***
	(0.060)	(0.038)	(0.073)	(0.066)	(0.020)
Propaganda	0.207***	0.118**	-0.406***	0.030	0.051***
	(0.064)	(0.051)	(0.095)	(0.028)	(0.015)
Constituency FE	✓	✓	✓	✓	✓
Demographics	✓	$\checkmark$	✓	$\checkmark$	✓
Geographics	✓	✓	✓	✓	✓

## Revolutionary Spillovers Effects: Individual Parties

Table 8: Spatial Correction with Arbitrary Clustering: Individual Parties in European Russia (50 km cutoff)

	Mensheviks (1)	SRevol (2)	Bolsheviks (3)	Jewish lists (4)	Liberals (5)	Kadets (6)
Radicalization:						
Okhrana	0.023**	-0.036*	-0.001	0.007**	0.002	0.013**
	(0.010)	(0.021)	(0.023)	(0.003)	(0.001)	(0.007)
Male (1 if male)	-0.030**	0.042	0.003	-0.008*	-0.002	-0.015*
	(0.013)	(0.028)	(0.029)	(0.004)	(0.002)	(0.009)
By crime:						
Assassinations	0.050*	0.118**	-0.021	-0.036**	-0.006**	-0.045***
	(0.027)	(0.054)	(0.027)	(0.016)	(0.003)	(0.012)
Riots	-0.015	0.576	0.401*	-0.225*	-0.078***	-0.304***
	(0.161)	(0.389)	(0.206)	(0.117)	(0.021)	(0.087)
Membership	-0.026	-0.108	$-0.077^*$	0.063**	0.012***	0.072***
	(0.039)	(0.084)	(0.041)	(0.027)	(0.004)	(0.020)
Propaganda	-0.098*	-0.332***	0.230***	-0.002	0.007	0.044***
	(0.054)	(0.077)	(0.064)	(0.012)	(0.005)	(0.016)
Constituency FE	✓	✓	✓	✓	✓	✓
Demographics	✓	$\checkmark$	✓	✓	$\checkmark$	$\checkmark$
Geographics	✓	$\checkmark$	✓	✓	✓	$\checkmark$

- 1 We leveraged a unique dataset on espionage activities in the Russian Empire to examine their impact on political preferences.
- **2** Cultural and economic disparities, as documented by the Okhrana, influenced the positions of parties on both ends of the political spectrum.
- **3** The robust security apparatus temporarily secured political support during a unique democratic experiment amidst two authoritarian regimes.
  - ▶ Meritocratic recruitment attracted exceptionally skilled individuals.
  - ▶ Merit-based promotion and rewards fostered incentives for unwavering loyalty (Scharpf and Gläßel 2020; Browder 1997).

The Okhrana, however, did not prevent the formation of subversive networks abroad or the erosion of hope among educated Russians (Fischer 1997; Hillis 2021).

- ▶ Uprisings by non-Russian minorities and revolutionary challenges to the Tsar's rule led to his assassination and the expansion of the Okhrana.
- ▶ The Russian government's failure to effectively manage social change, choosing containment over direction, resulted in widespread unrest (Morrissey 2012).
- ▶ Analyzing Alexander II's goals provides insight into the obstacles faced by Gorbachev.
- ▶ There are dangers of unleashing pent-up popular sentiment after years of autocratic oppression, potentially causing national mobilization and economic hardship (Zubok 2021).

- ▶ Is the impact truly attributed to the Okhrana, or is it a reflection of the 1905-07 experience?
  - ▶ Despite its inability to prevent the assassinations of major government officials, the Okhrana proved remarkably successful domestically afterward.
  - ▶ Verify by cross-referencing with Hartwell (2022)'s data on terror events and killings 1867-1914.
  - ► Examine differences in political support between the 1906 Duma elections and the 1917 Constituent Assembly for the largest parties/factions.

- ▶ 1902-11: Three interior ministers assassinated; Durnovo survived two attempts.
- ▶ 1903-04: Anarchist groups in major Russian cities and Western Europe.
- ▶ 1903-09: 190 government officials, including 58 police officers, assassinated.
- $\blacktriangleright$  1905: Bloody Sunday  $\rightarrow$  widespread protests and growth of revolutionary organizations.
- ▶ 1905-07: Over 9,000 casualties from terrorist attacks in Russia.
- ▶ 1906-14: Crushed opposition through show trials and mass executions.
- ▶ 1908-mid 1910: 19,957 terrorist acts and robberies; 7,634 casualties throughout the empire.

# Questions?



## "Overlooked No More": Aristocrat Sofia Perovskaya

- ➤ Sophia Perovskaya, an aristocrat, was executed on April 15, 1881, for a political crime after leading the 1881 assassination of Tsar Alexander II.
- ▶ Became known as Russia's first female terrorist and the first woman to be executed for a political crime in Russia.
- Credited with helping to push the empire down the road to revolution.





Source: https://www.nytimes.com/2018/05/30/obituaries/overlooked-sophia-perovskaya.html

## Assembly data: Eastern Front at the time of Russian Revolution 1917

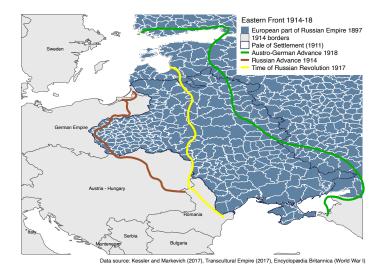




Fig. 5: Voting behavior in the 1917 Constituency Assemply

