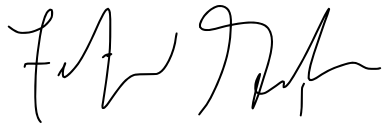
Disclosure Statement

I hereby declare that I have no relevant or material financial interests that relate to the research described in the paper "A Few Bad Apples? Racial Bias in Policing," by Felipe Goncalves and Steven Mello.

This study was submitted to the Princeton University IRB office for approval. We received a determination that the study is not human subjects research as defined by DHHS regulations. The study was later submitted to the UCLA IRB, which approved the study under expedited review. Both decisions are attached.

Sincerely,



Felipe Goncalves

July 11, 2020

NON-HUMAN SUBJECTS DETERMINATION

To:	Alexandre Mas Felipe Goncalves Steven Mello
From:	Institutional Review Board for Human Subjects
IRB #:	0000007721
Protocol Title:	Discrimination in Policing: Evidence from Speeding Tickets

On 4/20/16, the IRB determined that the proposed activity is not human subjects research as defined by DHHS regulations. Consequently, Princeton IRB approval is not applicable and the study was given the status of "closed / never opened." You are welcome to pursue the activity, obtaining any applicable administrative or departmental (non-IRB) approvals.

University of California Los Angeles
10889 Wilshire Blvd, Suite 830
Los Angeles, CA 90095-1406

http://ora.research.ucla.edu/ohrpp
General Campus IRB: (310) 825-7122
Medical IRB: (310) 825-5344

APPROVAL NOTICE

Conducting Research During the COVID-19 Public Health Outbreak: Please review the information provided on the UCLA Research Ramp Up website to determine whether any current Policy may affect this IRB approved or exempt study. https://www3.research.ucla.edu/research-ramp-up Information includes (a) an overview of the ramp-up process, (b) health and safety guidelines, and (c) appendices describing requirements for different types of research.

DATE:	7/10/2020
TO:	FELIPE GONCALVES, PhD ECONOMICS
FROM:	TODD FRANKE, PhD Chair, NGIRB
RE:	IRB#19-001260-CR-00001 2020 Review for IRB#19-001260 Discrimination and Deterrence in Traffic Policing Version: 7/15/19

The UCLA Institutional Review Board (UCLA IRB) has approved the submission listed below. UCLA's Federalwide Assurance (FWA) with Department of Health and Human Services is FWA00004642.

Submission and Review Information

Type of Submission	Continuing Review
Type of Review	Expedited Review
Approval Date for this Submission	7/10/2020

Expiration Date of the Study	7/9/2021

Specific Conditions for Approval

-- **Data Analysis Only** - the remaining research activities are limited to data analysis.

Regulatory Determinations

-- **Children as Subjects** - The UCLA IRB determined that the research meets the requirements of 45 CFR 46.404 for research involving children as subjects.

-- **Expedited Review Category(ies)** - The UCLA IRB determined that the research meets the requirements for expedited review per 45 CFR 46.110 category 5.

-- **Prisoners** - The UCLA IRB determined that the research meets the requirements of 45 CFR 46.306 (a)(2)(i) for the inclusion of prisoners in research.

-- **Waiver of Informed Consent/Assent/Parental Permission** - The UCLA IRB waived the requirement for informed consent under 45 CFR 46.116(d) for the research.

-- **Prisoners** - The UCLA IRB determined that the research meets the requirements of 45 CFR 46.305(a)(1-7) for the inclusion of prisoners in research.

Important Note: Approval by the Institutional Review Board does not, in and of itself, constitute approval for the implementation of this research. Other UCLA clearances and approvals or other external agency or collaborating institutional approvals may be required before study activities are initiated. Research undertaken in conjunction with outside entities, such as drug or device companies, are typically contractual in nature and require an agreement between the University and the entity.

General Conditions of Approval
As indicated in the PI Assurances as part of the IRB requirements for approval, the PI has ultimate responsibility for the conduct of the study, the ethical performance of the project, the protection of the rights and welfare of human subjects, and strict adherence to any stipulations imposed by the IRB.

The PI and study team will comply with all UCLA policies and procedures, as well as with all applicable Federal, State, and local laws regarding the protection of human subjects in research, including, but not limited to, the following:

- Ensuring that the personnel performing the project are qualified, appropriately trained, and will adhere to the provisions of the approved protocol,
- Implementing no changes in the approved protocol or consent process or documents without prior IRB approval (except in an emergency, if necessary to safeguard the well-being of human subjects and then notifying the IRB as soon as possible afterwards),
- Obtaining the legally effective informed consent from human subjects of their legally responsible representative, and using only the currently approved consent process and stamped consent documents, as appropriate, with

human subjects,

- Reporting serious or unexpected adverse events as well as protocol violations or other incidents related to the protocol to the IRB according to the OHRPP reporting requirements.
- Assuring that adequate resources to protect research participants (i.e., personnel, funding, time, equipment and space) are in place before implementing the research project, and that the research will stop if adequate resources become unavailable.
- Arranging for a co-investigator to assume direct responsibility of the study if the PI will be unavailable to direct this research personally, for example, when on sabbatical leave or vacation or other absences. Either this person is named as co-investigator in this application, or advising IRB via webIRB in advance of such arrangements.